

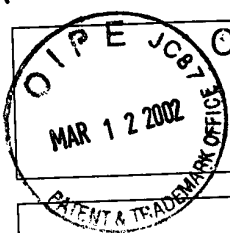
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(Modified) PTO/SB/29 (8/98)
Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE



CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.

(Only for Continuation or Divisional applications under 37 C.F.R. §1.53(d))

CHECK BOX, if applicable
☐ DUPLICATE

| | | |
|--|--|--------------------|
| Address to: Assistant Commissioner for Patents Box CPA Washington, D.C. 20231 | Attorney Docket No. of Prior Application | 316-000720US |
| | First Named Inventor | Messing, Robert O. |
| | Examiner Name | R. Shukla |
| | Group/Art Unit | 1632 |
| | Express Mail Label No.: | EL863422214US |

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This is a request for a ☒ continuation or ☐ divisional application under 37 C.F.R. §1.53(d),
(continued prosecution application (CPA)) of prior application No. 09/340,283,
filed on June 25, 1999, entitled PROTEIN KINASE C EPSILON AS MODULATOR OF ANXIETY, ALCOHOL
CONSUMPTION AND SELF-ADMINISTRATION OF DRUGS OF ABUSE.

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 C.F.R. §1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 U.S.C. §154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. §1.53(d), but must be filed under 37 C.F.R. §1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. §1.53(b) must be used to file a continuation, divisional or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. §1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.


35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 C.F.R. §1.78(a).

1. ☒ Enter the amendment previously filed on January 14, 2002
under 37 C.F.R. §1.116 in the prior non provisional application.
2. ☐ A preliminary amendment is enclosed
3. This application is filed by fewer than all the inventors named in the prior application, 37 C.F.R. §1.53(d)(4).
 - a. ☐ DELETE the following inventor(s) named in the prior nonprovisional application:
 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. ☐ Information Disclosure Statement (IDS) is enclosed: | 03/15/2002 GTEFFERA 00000097 500893 09340283
 - a. ☐ PTO-1449 | 01 FC:131 740.00 CH
 - b. ☐ Copies of IDS Citations

| CLAIMS | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS |
|--------|---|------------------|------------------|--------------------------------|------------------|
| | TOTAL CLAIMS (37 C.F.R. §1.16(c) or (j)) | 11-20* = | 0 | x \$ = | \$ |
| | INDEPENDENT CLAIMS (37 c.f.r. §1.16(B) OR (I)) | 3-3** = | 0 | x \$ = | \$ |
| | MULTIPLE DEPENDENT CLAIMS (if applicable) (37 C.F.R. §1.16(d)) | | | + \$ = | \$ |
| | | | | BASIC FEE (37 C.F.R. §1.16) | 740 |
| | | | | Total of above Calculations = | 740 |
| | Reduction by 50% for filing by small entity (Note 37 C.F.R. §1.9, 1.27 & 1.28). | | | | |
| | * Reissue claims in excess of 20 and over original patent. ** Reissue independent claims over original patent. | | | | TOTAL = 740 |

6. Small entity status:
- a. ☐ A small entity statement is enclosed, if (b) and (c) do not apply.
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.
7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. **50-0893**:
- a. ☒ Fees required under 37 C.F.R. §1.16.
- b. ☒ Fees required under 37 C.F.R. §1.17.
- c. ☒ Fees required under 37 C.F.R. §1.18.
8. ☐ A check in the amount of \$ _____ is enclosed.
9. ☒ New Attorney Docket Number, if desired 316-000720US
(Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.)
10. a. ☐ Receipt for Facsimile Transmitted CPA (PTO/SB/29A)
- b. ☒ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)
11. ☒ Other: Petition for extension of time and fee transmittal

NOTE: The prior applications correspondence address will carry over to this CPA
UNLESS a new correspondence address is provided below.

| 12. NEW CORRESPONDENCE ADDRESS | | | | | |
|---|--|-----------|--|----------|--------------|
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label |  22798 PATENT TRADEMARK OFFICE | | or <input type="checkbox"/> New correspondence address below | | |
| Name | Emily M. Haliday Quine Intellectual Property Law Group, P.C. | | | | |
| Address | P.O. Box 458 | | | | |
| City | Alameda | State | CA | Zip Code | 94501 |
| Country | US | Telephone | 510-337-7871 | Fax | 510-337-7877 |

13. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

| | |
|-----------------------------------|-------------------------|
| Name (Print/Type) | Emily M. Haliday |
| Signature | <i>Emily M. Haliday</i> |
| Registration No. (Attorney/Agent) | 38,903 |
| Date | Mar. 12, 2002 |

CERTIFICATE OF EXPRESS MAILING under 37 CFR 1.10

| | | | |
|--|-----------------------|-----------------|----------------|
| Express Mail Label No: | EL863422214US | Date of Deposit | March 12, 2002 |
| I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, DC 20231. | | | |
| Signature | <i>Juliana Hermes</i> | | |
| Typed Name of Person Mailing Paper or Fee | | Juliana Hermes | |